Managing Anti-Money Laundering Compliance Risk for Financial Institutions
Legal and Practical Issues in a Complex, Globalized Environment

Recently, anti-money laundering (AML) regulation, compliance and enforcement have occupied the agendas of regulators and financial institutions, as well as news headlines. In the United States, federal enforcement of U.S. AML and related laws and regulations has been robust. In New York, the Department of Financial Services has taken a more active role, adding a layer of complexity to a multi-agency enforcement landscape. Overseas, national regulators have raised their AML vigilance, taking independent and, at times, coordinated AML enforcement actions against financial institutions. Heightened enforcement is likely to continue at the national and multi-national levels, as AML standards and regulatory priorities continue to converge across borders.

How are U.S.- and non-U.S.-based financial institutions managing AML regulation and compliance risk in an increasingly complex and globalized business and regulatory environment? What are the key legal, regulatory and risk factors defining the regulatory and enforcement landscape today, and what can be expected in the future? Join our panel for a discussion of AML regulation, compliance and enforcement, from the perspectives of key internal and external experts.

SPEAKERS

John (“Jack”) F. Baughman
Partner, Paul, Weiss, Rifkind, Wharton & Garrison LLP

Pierre de Saint Phalle
Managing Director, Promontory Financial Group

Philip Varela
Executive Director, Morgan Stanley

MODERATOR

Hdeel Abdelhady
Co-Chair, ABA Middle East Committee
Principal, MassPoint Legal & Strategy Advisory PLLC

8:30 a.m. – 10:30 a.m.
Tuesday, May 12, 2015
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