

## FINANCIAL INVESTIGATION CHECKLIST

DATABASE	PURPOSE / IDENTIFICATION
<b>PUBLIC RECORDS</b>	
<input type="checkbox"/> <u>Real Estate Records</u> – County Recorder/Registrar of Deeds, City/County Tax Assessor, or use the internet at <a href="http://www.searchsystems.net">www.searchsystems.net</a> .	ID liens, building permits, property tax assessments, transfer documents, ID buyer/seller, attorneys, title company, etc.
<input type="checkbox"/> <u>Real Estate Agents</u> – interview real agents identified through real estate records.	ID contract records, HUD info, purchaser/seller info, method of payment, etc.
<input type="checkbox"/> <u>Real Estate Sources</u> – Attorneys, title companies, prior owners, financial institutions, loan officers, etc.	Obtain deeds, mortgages, settlements, financial statements, disbursements of loan proceeds, other assets, loan applications, etc.
<input type="checkbox"/> <u>Court Records</u> – Bankruptcy, divorce/separation, estate/probate, Uniform Commercial Code (UCC), judgment index, etc.	ID creditors, additional assets, inheritances, liens against assets, money owned by target.
<input type="checkbox"/> <u>Criminal Records</u> – CCAP	ID aliases, codefendants, witnesses, arresting officers, attorneys, additional addresses, etc.
<input type="checkbox"/> <u>Utility Companies</u> – Telephone, water, sewer, electric, gas, and trash companies.	ID subscriber info, billing info, credit files, length of service, payments, account holder, etc.
<input type="checkbox"/> <u>Internet</u> – Google, Yahoo, and other search engines, as well as web sites that provide a combination of state, local, and national databases, such as <a href="http://www.findinformation.homestead.com">www.findinformation.homestead.com</a> ; and <a href="http://www.searchsystems.net">www.searchsystems.net</a> .	Information on property tax records, professional licensing, court cases, and other useful and interesting information.
<input type="checkbox"/> <u>Commercial Databases</u> – Lexis-Nexis, ChoicePoint, AutoTrack, Infotek, Dun & Bradstreet, etc.	Available to search lists and data from a variety of public and private sources. Query names of all targets, associates and businesses.
<input type="checkbox"/> <u>Licensing Bureaus</u> – marriage licenses, professional licenses, birth records, Clerk of Courts, Registrar of Deeds, Bureau of Vital Statistics, state licensing bureaus, etc.	ID spouse, DOBs, aliases, other addresses, applications, place of birth, names of children, etc.
<b>RESTRICTED INFORMATION</b>	
<input type="checkbox"/> <u>Contact Department of Motor Vehicles</u> - Request information for vehicles in names of all targets and associates - - this information should be more up to date than the research of public record databases	Obtain title histories which will identify the auto dealership, purchase price, liens, etc.

<input type="checkbox"/>	<u>Trash Search</u> – no warrant necessary as long as trash obtained from a legally accessible area.	Obtain financial info, handwritten notes, names, addresses, phone numbers, utility bills, credit card and bank statements, insurance info, etc.
<input type="checkbox"/>	<u>Lead Information</u> – FinCIN, DEA's SOD (FIND IT), U.S. Postal Inspection Service, etc.	SARs, CTRs, 8300 forms, exploitation of telephone and bank information, mail covers, etc.
<input type="checkbox"/>	<u>Law Enforcement Databases</u> – NLETS, NCIC, NADDIS, TEC II, EPIC, etc.	NLETS – multi-state LE system; NCIC - FBI system containing rap sheets, fingerprints, etc.; NADDIS – DEA system containing info on narcotics investigations nationwide; TEC II – ICE, IRS, and ATF info that includes border crossings, financial info, and firearms info; EPIC – analysis and dissemination of intelligence on alien movements, drug investigations, and criminal organizations.
<input type="checkbox"/>	<u>Informant Interviews</u> -	Info on targets, aliases, nominees, assets, addresses, etc.
<b>PROTECTED INFORMATION</b>		
<input type="checkbox"/>	<u>Ex parte request</u> -	Obtain court order to get tax returns of individuals and business entities identified
<input type="checkbox"/>	<u>Subpoena credit reports</u> - of targets and associates from all three reporting agencies: Trans Union, Equifax, Experian	ID credit cards and financial institutions (such as mortgage companies), etc. used by targets
<input type="checkbox"/>	<u>Subpoena credit card companies</u> - which appear to have significant activity	ID expenditures, payments, etc. being made by targets.
<input type="checkbox"/>	<u>Subpoena mortgage companies</u> -	Mortgage files contain loan applications which list employment, bank accounts, other assets, other liabilities, etc.
<input type="checkbox"/>	<u>Subpoena bank account information</u> - from financial institutions identified in mortgage files, or through the investigation	ID deposits and disbursements from accounts to determine legal / illegal sources of income, asset purchases, other bank accounts, etc.